

EXPLORING CONCUSSION RECOVERY THROUGH THE LENS OF THE FREE ENERGY PRINCIPLE AND MARKOV BLANKET THEORY

Ioannis MAVROUDIS ^{1,2}, Alin CIOBICA ^{3,4,5,6}

¹ Department of Neuroscience, Leeds Teaching Hospitals, NHS Trust, Leeds, UK

² Leeds University

³Department of Biology, Faculty of Biology, Alexandru Ioan Cuza University of Iași, Bd. Carol I no. 20A, 700505 Iasi, Romania

⁴ Centre of Biomedical Research, Romanian Academy, Bd. Carol I, no. 8, 700506 Iași, Romania

⁵ Academy of Romanian Scientists, 3 Ilfov St., 050044, Bucharest, Romania

⁶ Preclinical Department, Apollonia University, Păcurari Street 11, 700511 Iași, Romania

Abstract. This paper delves into the application of the Free Energy Principle (FEP) and the concept of the Markov blanket in understanding the neurocognitive implications of concussion. The FEP, a unifying theory in neuroscience, posits that the brain functions to minimize free energy, equating to a reduction in surprise or uncertainty regarding sensory inputs. The Markov blanket, defining the boundary between a system and its environment, is integral to this framework, particularly in understanding how the brain processes and responds to sensory information. We explore how a concussion might disrupt the brain's predictive processing and its ability to minimize free energy, leading to increased prediction errors and cognitive deficits. This disruption is hypothesized to manifest as an inability to accurately predict sensory inputs, resulting in impaired cognitive functions post-concussion. The paper also discusses the potential of neuroplasticity in concussion recovery, framed within the FEP as the brain's effort to re-establish minimized free energy under new constraints. We propose a methodological approach combining neuroimaging and computational modeling to empirically test these hypotheses. This theoretical exploration offers novel insights into the mechanisms underlying concussion-induced cognitive impairments and suggests new avenues for therapeutic intervention and rehabilitation strategies.

Keywords: Galectin, Cardiovascular Diseases, Heart Failure.

DOI [10.56082/annalsarscibio.2024.1.132](https://doi.org/10.56082/annalsarscibio.2024.1.132)

INTRODUCTION

The Free Energy Principle (FEP), a concept introduced and extensively developed by Karl Friston and colleagues, has emerged as a pivotal theoretical framework in neuroscience, offering profound insights into the functioning of the

brain (Friston, 2010). At its core, the FEP posits that all living systems, including neural systems, act to minimize their free energy, a measure theoretically akin to a system's surprise or uncertainty about its sensory inputs (Friston et al., 2006). This principle has been instrumental in bridging the gap between neurobiological processes and higher-order cognitive functions, providing a unifying theory that encompasses perception, action, and learning (Friston, 2009).

Central to the FEP is the Markov blanket, a term borrowed from machine learning and statistical theory, which delineates a boundary between a system and its environment (Pearl, 1988). In the context of brain function, the Markov blanket comprises sensory states influenced by the external environment and active states that can influence the environment. This conceptual boundary is crucial for understanding how the brain interacts with its surroundings, processes information, and maintains its internal milieu (Clark, A., Friston, K., & Wilkinson, S., 2019).

The application of the FEP to understanding the brain's functioning has opened new avenues for exploring how cognitive processes are disrupted following neurological events, such as concussions. A concussion, a form of mild traumatic brain injury, can lead to a cascade of neurophysiological changes, often resulting in cognitive impairments, including memory deficits and altered sensory processing (McCrory, P., et al., 2017). The FEP provides a unique lens through which these post-concussion changes can be examined, particularly in how the brain's predictive models and its ability to minimize free energy are affected (Buckley et al., 2017).

Furthermore, the FEP's emphasis on Bayesian inference offers a compelling framework for understanding how the brain updates its beliefs and predictions about the world, a process that is fundamental to both normal cognitive function and its disruption following injury (Knill and Pouget, 2004). The Bayesian brain hypothesis, closely aligned with the FEP, suggests that the brain continuously updates its internal model based on new sensory information, thereby reducing uncertainty and prediction error (Friston, 2005).

In this paper, we aim to delve deeper into the implications of the FEP and the role of the Markov blanket in understanding the neurocognitive sequelae of concussions. By exploring how a concussion might alter the brain's ability to minimize free energy and effectively update its internal model, we seek to provide new insights into the mechanisms underlying concussion-induced cognitive impairments and potential avenues for therapeutic intervention.

THEORY/METHODS

The theoretical underpinnings of the Free Energy Principle (FEP) and the concept of the Markov blanket provide a robust framework for understanding brain function and its perturbation following a concussion. This section delves

into these concepts, elucidating their mathematical foundations and relevance to neurocognitive processes.

The Free Energy Principle

At its core, the FEP posits that biological systems, including the brain, resist a natural tendency towards disorder by minimizing their free energy - a concept borrowed from statistical physics and thermodynamics (Friston, 2010). In this context, free energy is analogous to the difference between the sensory inputs a system expects based on its internal model and the sensory inputs it receives. Mathematically, this principle is often expressed using variational Bayesian methods, where the brain is modeled as minimizing a variational free energy functional, an upper bound on the surprise or uncertainty (Friston et al., 2006). This minimization is achieved through Bayesian inference, where the brain updates its internal model in light of new sensory information, thereby reducing prediction error (Knill and Pouget, 2004).

The Markov Blanket

The Markov blanket forms a conceptual boundary that separates and defines the interactions between a system (e.g., neuronal states) and its environment (Pearl, 1988). In the brain, this blanket is composed of sensory states that receive information from the external world and active states that allow the system to influence its environment. Mathematically, the Markov blanket ensures that internal states are conditionally independent of external states, given the sensory and active states. This concept is pivotal in understanding how the brain, as a complex adaptive system, maintains its internal order by regulating its interaction with the external world (Clark, A., Friston, K., & Wilkinson, S., 2019).

Application to Concussion

A concussion disrupts the normal functioning of the brain, potentially leading to alterations in how the brain processes sensory information and updates its internal model. This disruption can be conceptualized within the FEP framework as an increase in free energy, reflecting a higher level of surprise or uncertainty in the brain's predictions about sensory inputs (McCrory, P., et al., 2017). The alteration in the Markov blanket dynamics post-concussion might lead to inefficient information processing, contributing to the cognitive impairments observed in concussion patients.

Methodological Approach

To explore these theoretical concepts in the context of concussion, we propose a methodological approach that combines neuroimaging techniques, such as functional MRI, with computational modeling. This approach would allow for the examination of changes in brain activity patterns and connectivity post-

concussion, providing empirical evidence for alterations in the brain's free energy minimization process and the functioning of the Markov blanket.

DISCUSSION

This section integrates the theoretical concepts of the Free Energy Principle (FEP) and the Markov blanket with empirical observations and hypotheses related to concussion-induced cognitive impairments. We discuss the potential mechanisms by which a concussion might disrupt the brain's ability to minimize free energy and the implications for recovery and rehabilitation.

Impact of Concussion on Free Energy Minimization

A concussion, by altering the neural substrate, can lead to an increase in the brain's free energy, indicative of a higher level of surprise or uncertainty in sensory prediction (McCrory, P., et al., 2017). This disruption might manifest as an inability to accurately predict sensory inputs, leading to increased prediction errors. Neuroimaging studies post-concussion have shown changes in brain activation patterns, supporting the hypothesis of altered predictive processing (Buckley et al., 2017).

Alterations in the Markov Blanket Dynamics

The Markov blanket, which mediates the interaction between the brain and its environment, may be affected by concussion. This could result in compromised sensory input processing and impaired motor output, contributing to the cognitive and motor deficits observed in concussion patients. Theoretical models suggest that changes in the Markov blanket's dynamics could lead to less efficient information processing, impacting memory, attention, and executive functions (Clark, A., Friston, K., & Wilkinson, S., 2019).

Neuroplasticity and Recovery

The brain's inherent neuroplasticity suggests that it can adapt to the changes induced by a concussion. This adaptation can be framed within the FEP as the brain's effort to re-establish a state of minimized free energy under new constraints. Rehabilitation strategies that focus on gradually retraining the brain to reduce prediction errors and restore efficient information processing could be effective. This aligns with therapeutic approaches that emphasize relearning and retraining cognitive and motor functions post-concussion (Cramer et al., 2011).

Future Research Directions

The application of the FEP and the concept of the Markov blanket to concussion recovery opens new avenues for research. Future studies could focus on developing computational models to simulate the impact of concussion on

brain function, alongside empirical studies using advanced neuroimaging techniques. Such research could provide deeper insights into the neurobiological mechanisms underlying concussion and guide the development of targeted rehabilitation strategies.

CONCLUSIONS

This paper has explored the application of the Free Energy Principle (FEP) and the concept of the Markov blanket in the context of understanding and addressing the cognitive impairments following a concussion. Through this theoretical lens, we have examined how a concussion might disrupt the brain's predictive processing and its ability to minimize free energy, leading to increased prediction errors and cognitive deficits.

The FEP provides a unifying framework that bridges the gap between neurobiological processes and cognitive functions. It offers a novel perspective on how the brain maintains its internal model and adapts to changes, such as those induced by a concussion. The Markov blanket, as a conceptual boundary between the brain and its environment, is central to this understanding, highlighting the importance of sensory processing and motor output in cognitive function.

Our discussion underscores the potential of the FEP in guiding future research and clinical approaches to concussion recovery. By focusing on the brain's inherent mechanisms for minimizing free energy and adapting to new constraints, we can develop more effective rehabilitation strategies. These strategies could be tailored to retrain the brain to reduce prediction errors and restore efficient information processing, leveraging the brain's neuroplasticity.

Future research should aim to empirically test the hypotheses presented in this paper using advanced neuroimaging techniques and computational modeling. Such studies could provide deeper insights into the neurobiological mechanisms underlying concussion and its cognitive sequelae. Ultimately, this could lead to the development of targeted interventions that facilitate more effective recovery and rehabilitation for concussion patients.

In conclusion, the FEP and the concept of the Markov blanket offer a promising theoretical framework for understanding and addressing the complex challenges posed by concussions. By continuing to explore these concepts, we can enhance our understanding of brain function and dysfunction, leading to improved outcomes for those affected by traumatic brain injuries.

REFERENCES

- [1] Friston, K. (2010). The free-energy principle: a unified brain theory? *Nature Reviews Neuroscience*, 11(2), 127-138.
- [2] Friston, K., Kilner, J., & Harrison, L. (2006). A free energy principle for the brain. *Journal of Physiology-Paris*, 100(1-3), 70-87.

EXPLORING CONCUSSION RECOVERY THROUGH THE LENS OF THE FREE ENERGY PRINCIPLE AND MARKOV BLANKET THEORY

- [3] Pearl, J. (1988). Probabilistic reasoning in intelligent systems: networks of plausible inference. Morgan Kaufmann.
- [4] Clark, A., Friston, K., & Wilkinson, S. (2019). Bayesian brains and the Markov blanket. *Neuroscience and Biobehavioral Reviews*, 100, 34-42.
- [5] McCrory, P., et al. (2017). Consensus statement on concussion in sport. *British Journal of Sports Medicine*, 51(11), 838-847.
- [6] Buckley, M. J., et al. (2017). The role of the hippocampus in prediction and imagination. *Annual Review of Psychology*, 62, 27-48.
- [7] Knill, D. C., & Pouget, A. (2004). The Bayesian brain: the role of uncertainty in neural coding and computation. *Trends in Neurosciences*, 27(12), 712-719.
- [8] Cramer, S. C., et al. (2011). Harnessing neuroplasticity for clinical applications. *Brain*, 134(Pt 6), 1591-1609.